

# The communication complexity of non-signaling distributions

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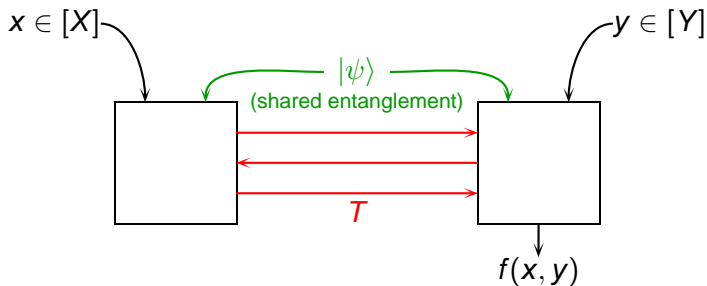
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June 26, 2009

- 1 The problem
  - Communication complexity
  - Non-signaling distributions
  - Bell inequalities and EPR experiment
- 2 Affine combinations / quasi-probabilities
- 3 Lower bounds on communication complexity
- 4 Upper bounds on communication complexity
- 5 Conclusion

# Communication complexity: Boolean functions

Boolean function  $f : [X] \times [Y] \mapsto \{0, 1\}$

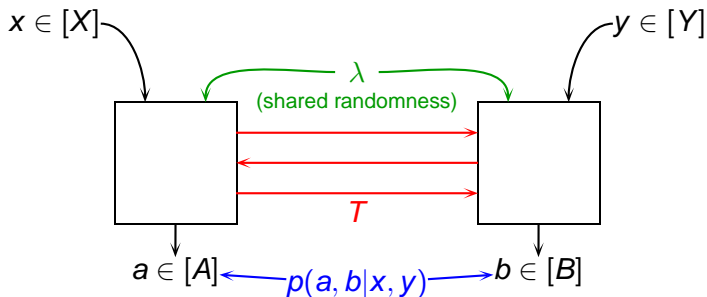


How much **communication** is needed?

- Assisted with **shared randomness**:  $R_\epsilon(f)$
- Assisted with **shared entanglement**:  $Q_\epsilon(f)$

# Communication complexity: distributions

Probability distribution  $p(a, b|x, y)$

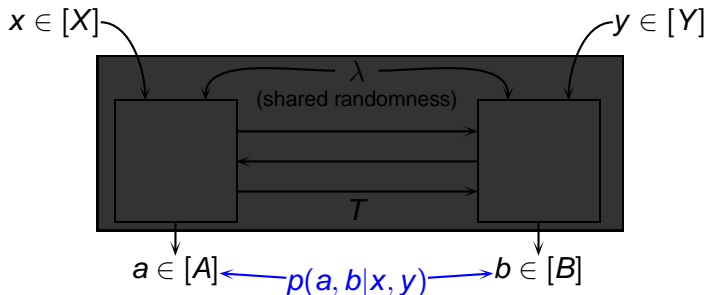


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# Non-signaling distributions

We consider **non-signaling** distributions  $\mathbf{p}$ :



- $a$  contains no information about  $y$ :

$$p(a|x, y) = p(a|x, y')$$

- $b$  contains no information about  $x$ :

$$p(b|x, y) = p(b|x', y)$$

# Set of non-signaling distributions $\mathcal{N}$

- Distribution  $\mathbf{p}$   $\longrightarrow$  vector in  $\mathbb{R}^n$ , for  $n = ABXY$
- Coordinates  $\mathbf{p}[a, b, x, y] = p(a, b|x, y)$
- $\mathbf{p} \in \mathcal{N}$  iff

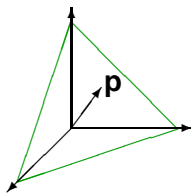
Positivity:  $p(a, b|x, y) \geq 0$   $\forall a, b, x, y$

Normalization:  $\sum_{a,b} p(a, b|x, y) = 1$   $\forall x, y$

Non-signaling:  $\sum_b p(a, b|x, y) = \sum_b p(a, b|x, y')$   $\forall a, y, y'$

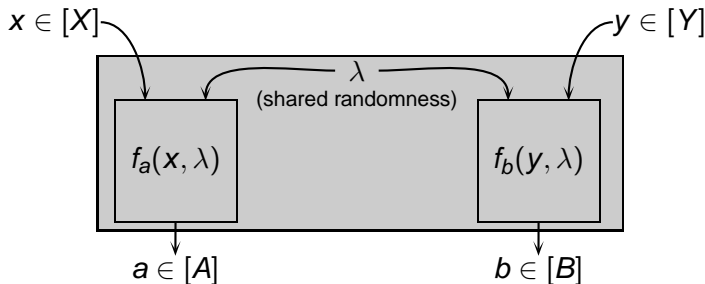
$\sum_a p(a, b|x, y) = \sum_a p(a, b|x', y)$   $\forall b, y, y'$

- Set of linear (in)equations  $\implies \mathcal{N}$  is a polytope in  $\mathbb{R}^n$



# Local distributions

No communication but shared randomness:



- No shared randomness (fixed  $\lambda$ )  $\longrightarrow$  Deterministic protocol:

$$p(a, b|x, y, \lambda) = \delta_{a=f_a(x, \lambda)} \delta_{b=f_b(y, \lambda)}$$

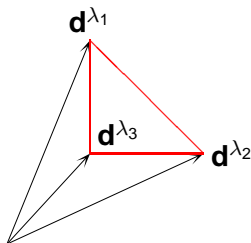
- Shared randomness  $\longrightarrow$  Mixture of deterministic protocols:

$$p(a, b|x, y) = \sum_{\lambda} p(\lambda) p(a, b|x, y, \lambda)$$

# Set of local distributions $\mathcal{L}$

- Deterministic protocols  $\mathbf{d}^\lambda \rightarrow$  vectors in  $\mathbb{R}^n$
- Coordinates:  $\mathbf{d}^\lambda[a, b, x, y] = \delta_{a=f_a(x,\lambda)} \delta_{b=f_b(y,\lambda)}$
- Set  $\mathcal{L} \rightarrow$  convex hull of these vectors

$\Rightarrow$   $\mathcal{L}$  is also a polytope

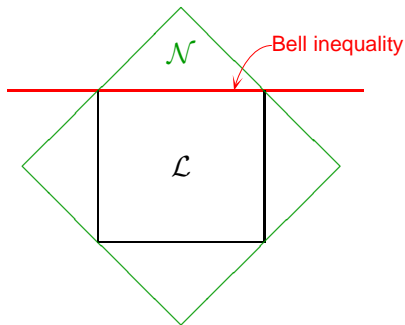




# Bell inequalities

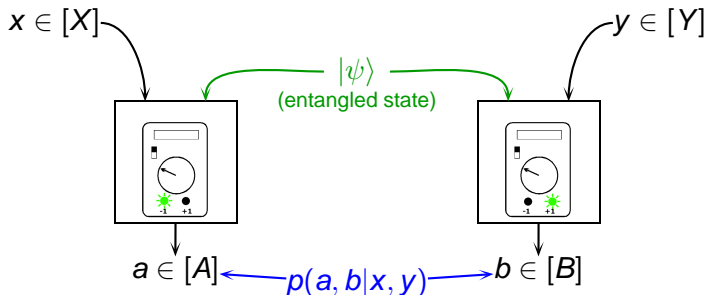
“Local” polytope  $\mathcal{L}$  defined by:

- Extremal vertices: deterministic protocols  $\mathbf{d}^\lambda$
- Facets: Bell inequalities  $B(\mathbf{p}) \leq B_0$  for all  $\mathbf{p} \in \mathcal{L}$   
where  $B : \mathcal{H}(\mathcal{N}) \mapsto \mathbb{R}$  is a linear functional over distributions



# Quantum distributions

No communication but shared entanglement  $\rightarrow$  EPR Experiment

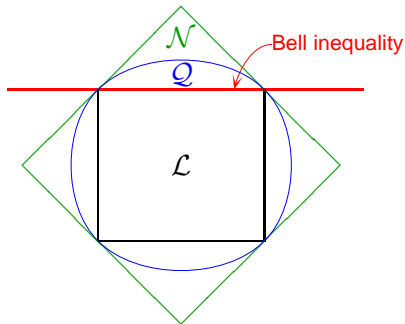


- $p(a, b|x, y)$  is **non-signaling**  $\rightarrow \mathcal{Q} \subseteq \mathcal{N}$
- BUT may violate Bell inequality  $\rightarrow \mathcal{L} \subset \mathcal{Q}$

# Bell inequalities

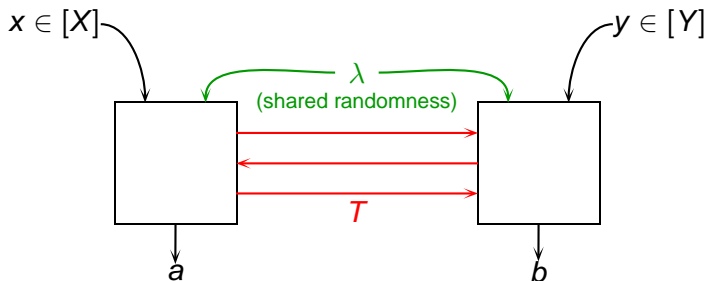
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# Boolean functions

Function  $f : [X] \times [Y] \mapsto \{0, 1\}$



$$p_f(a, b|x, y) = \begin{cases} \frac{1}{2} & \text{if } a \oplus b = f(x, y) \\ 0 & \text{otherwise} \end{cases}$$

Communication complexities of  $f$  and  $p_f$

$$R_\epsilon(p_f) \leq R_\epsilon(f) \leq R_\epsilon(p_f) + 1$$

# Quasi-probabilities

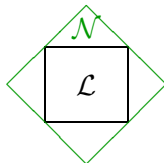
Set of local distributions defined as

$$\mathbf{p} \in \mathcal{L} \iff \exists p_\lambda \text{ s.t. } \begin{cases} \sum_\lambda p_\lambda \mathbf{d}^\lambda = \mathbf{p} \\ \sum_\lambda p_\lambda = 1 \\ p_\lambda \geq 0 \forall \lambda \end{cases}$$

Set of local distributions **with quasi-probabilities** defined as

$$\mathbf{p} \in \tilde{\mathcal{L}} \iff \exists q_\lambda \text{ s.t. } \begin{cases} \sum_\lambda q_\lambda \mathbf{d}^\lambda = \mathbf{p} \\ \sum_\lambda q_\lambda = 1 \\ p(a, b|x, y) \geq 0 \forall a, b, x, y \end{cases}$$

$$\mathcal{L} \subseteq \tilde{\mathcal{L}} \subseteq \mathcal{N}$$



## Theorem

*The set of non-signaling distributions coincides with the set of LHV with quasi-probabilities*

$$\tilde{\mathcal{L}} = \mathcal{N}$$

Proof sketch:

- Affine hulls:

$$\left. \begin{array}{l} \mathcal{H}(\tilde{\mathcal{L}}) \subseteq \mathcal{H}(\mathcal{N}) \\ \dim \mathcal{H}(\tilde{\mathcal{L}}) \geq \dim \mathcal{H}(\mathcal{N}) \end{array} \right\} \implies \mathcal{H}(\tilde{\mathcal{L}}) = \mathcal{H}(\mathcal{N})$$

- Build  $\tilde{\mathcal{L}}$  from  $\mathcal{H}(\tilde{\mathcal{L}})$  by restricting to  $p(a, b|x, y) \geq 0$
- Similarly for  $\mathcal{N}$

$$\implies \tilde{\mathcal{L}} = \mathcal{N}$$

# Affine combinations

Intuitively:

- Distance from  $\mathcal{L} \rightarrow$  bound on **classical** communication complexity
- Distance from  $\mathcal{Q} \rightarrow$  bound on **quantum** communication complexity

How well may  $\mathbf{p}$  be described as an affine combination?

We define:

- $\nu(\mathbf{p}) = \min\{\sum_i |q_i| : \exists \mathbf{p}_i \in \mathcal{L}, q_i \in \mathbb{R}, \mathbf{p} = \sum_i q_i \mathbf{p}_i\},$  (LP)
- $\gamma_2(\mathbf{p}) = \min\{\sum_i |q_i| : \exists \mathbf{p}_i \in \mathcal{Q}, q_i \in \mathbb{R}, \mathbf{p} = \sum_i q_i \mathbf{p}_i\},$  (SDP relax.)
- $\nu^\epsilon(\mathbf{p}) = \min\{\nu(\mathbf{p}') : \delta(\mathbf{p}, \mathbf{p}') \leq \epsilon\},$  (LP)
- $\gamma_2^\epsilon(\mathbf{p}) = \min\{\gamma_2(\mathbf{p}') : \delta(\mathbf{p}, \mathbf{p}') \leq \epsilon\}.$  (SDP relax.)

Extension of factorization norms [Linial, Shraibman STOC'07]

## Theorem

$$R_\epsilon(\mathbf{p}) \geq \log(\nu^\epsilon(\mathbf{p})) - 1 \quad \text{and} \quad Q_\epsilon(\mathbf{p}) \geq \frac{1}{2} \log(\gamma_2^\epsilon(\mathbf{p})) - 1$$

Proof sketch:

- Protocol for  $\mathbf{p}$  with  $c$  bits.
- Replace communication by random transcript  $T \in \{0, 1\}^c$ ,
- Alice outputs random  $a \sim p(a|x)$  if  $T$  not consistent with  $x$ ,
- Similarly for Bob

→ 0 bit protocol for  $\mathbf{p}_\pi$

$$p_\pi(a, b|x, y) = \pi p(a, b|x, y) + (1 - \pi) p(a|x)p(b|y),$$

where  $\pi = 2^{-c}$



## Theorem

$$R_\epsilon(\mathbf{p}) \geq \log(\nu^\epsilon(\mathbf{p})) - 1 \quad \text{and} \quad Q_\epsilon(\mathbf{p}) \geq \frac{1}{2} \log(\gamma_2^\epsilon(\mathbf{p})) - 1$$

Proof sketch (continued):

- 0 bit protocol for  $\mathbf{p}_\pi$ ,
- $\mathbf{p}_\pi \in \mathcal{L}$ ,
- $\nu(\mathbf{p}) \leq 2^{c+1} - 1$  since

$$p(a, b|x, y) = \frac{1}{\pi} p_\pi(a, b|x, y) - \left(\frac{1}{\pi} - 1\right) p(a|x)p(b|y).$$

and  $|\frac{1}{\pi}| + |\frac{1}{\pi} - 1| = \frac{2}{\pi} - 1$

# Bell inequalities

By (LP or SDP) duality,

→ We turn the minimization problem into a maximization problem.

## Theorem

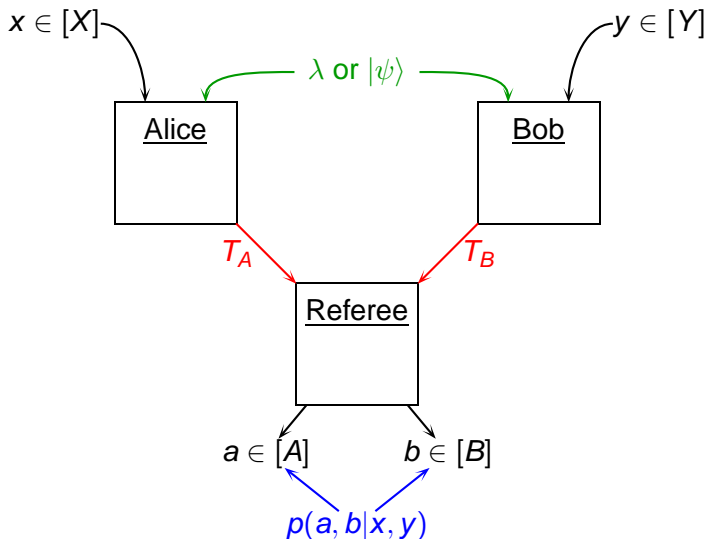
For any distribution  $\mathbf{p} \in \mathcal{N}$ ,

- $\nu(\mathbf{p}) = \max\{B(\mathbf{p}) : \forall \mathbf{p}' \in \mathcal{L}, |B(\mathbf{p}')| \leq 1\}$ , and
- $\gamma_2(\mathbf{p}) = \max\{B(\mathbf{p}) : \forall \mathbf{p}' \in \mathcal{Q}, |B(\mathbf{p}')| \leq 1\}$ ,

where the maximization is over linear functionals  $B : \mathcal{H}(\mathcal{N}) \mapsto \mathbb{R}$

Intuition: The bounds consist in maximizing the violation of a Bell (or Tsirelson) inequality.

# Simultaneous message passing (SMP)



## Theorem

- $R_{\epsilon+\delta}^{\text{SMP}}(\mathbf{p}) \leq 16 \left[ \frac{AB\nu^\epsilon(\rho)}{\delta} \right]^2 \ln \left[ \frac{4AB}{\delta} \right] \log(AB)$
- $Q_{\epsilon+\delta}^{\text{SMP}}(\mathbf{p}) \leq 16 \left[ \frac{AB\gamma_2^\epsilon(\rho)}{\delta} \right]^2 \ln \left[ \frac{4AB}{\delta} \right] \log(AB)$

Proof sketch:

- Let  $\mathbf{p} = q_+ \mathbf{p}^+ - q_- \mathbf{p}^-$ , where  $|q_+| + |q_-| = \nu(\mathbf{p})$ .
- Alice and Bob send samples of  $\mathbf{p}^+, \mathbf{p}^- \in \mathcal{L}$  to the referee.
- The referee estimates  $p(a, b|x, y) \forall a, b$  (without knowing  $x, y$ ).

- Special case
  - Binary outputs:  $a, b = \pm 1$
  - Uniform marginals:  $E(a|x) = E(b|y) = 0$
  - Correlations  $E(a \cdot b|x, y) = C_{xy}$  (in particular Boolean functions)
  - Grothendieck's inequality:  $\gamma_2(\mathbf{p}) \leq \nu(\mathbf{p}) \leq K_G \gamma_2(\mathbf{p})$
  - Tsirelson's bound
- General case

## Theorem

$$\gamma_2(\mathbf{p}) \leq \nu(\mathbf{p}) \leq O(AB \gamma_2(\mathbf{p}))$$

The proof uses affine combinations and Grothendieck's inequality.

# Simulating quantum protocols

From

- Upper bound:  $R_{\epsilon+\delta}^{\text{SMP}}(\mathbf{p}) \leq 16 \left[ \frac{AB\nu^\epsilon(\mathbf{p})}{\delta} \right]^2 \ln \left[ \frac{4AB}{\delta} \right] \log(AB)$
- Comparison:  $\nu^\epsilon(\mathbf{p}) \leq O(AB \gamma_2^\epsilon(\mathbf{p}))$
- Lower bound:  $\gamma_2^\epsilon(\mathbf{p}) \leq 2^{2Q_\epsilon(\mathbf{p})+1} - 1$

## Corollary

Any quantum protocol may be simulated with classical messages in the SMP model with exponential blowup: For  $Q_\epsilon(\mathbf{p}) \leq q$

- $R_{\epsilon+\delta}^{\text{SMP}}(\mathbf{p}) \leq O\left(2^{4q} \frac{(AB)^3}{\delta^2} \ln^2 \left[ \frac{AB}{\delta} \right]\right)$

In particular, for  $\mathbf{p} \in \mathcal{Q}$ , that is,  $Q_\epsilon(\mathbf{p}) = 0$

- $R_{\epsilon+\delta}^{\text{SMP}}(\mathbf{p}) \leq O\left(\frac{(AB)^3}{\delta^2} \ln^2 \left[ \frac{AB}{\delta} \right]\right)$

[Shi,Zhu STOC'05]

## Summary

- non-signaling distributions  $\longleftrightarrow$  LHV with quasi-probabilities
- New intuition for studying non-locality
- Bounds for communication complexity  
     $\longrightarrow$  Extension of [Linial, Shraibman STOC'07]
- Relation: communication complexity  $\longleftrightarrow$  Bell inequalities

## Further work

- Extension to multipartite model (number-on-the-forehead)
- New lower bounds (non-Boolean, partial functions, relations)

Thank you !